

BROKERAGE SUPPLEMENTAL

Insured:

Estimated Brokerage Revenue:

Does the truck broker maintain a file on all carriers that confirms the following information:

(Answer Y or N):

Yes No

Carrier's FMCSA SAFER Safety Rating is satisfactory
Fully executed and signed Brokerage Agreement
Requires that all carriers maintain AM Best A-VII or better primary insurance
Requires that all carriers maintain at least \$1M CSL underlying insurance
Confirmation that primary does NOT exclude the truck brokerage exposure
Confirm acceptable SMS scores (no more than one alert)
Carriage is undertaken under carrier's FMCSA authority
"Double-brokering" is prohibited
Obtain COI (or a sampling of total) to verify carrier insurance status
Confirm carrier names our broker as additional insured
Determine system in place for ongoing review of carriers
Confirm that truck broker does not appear as "carrier"
Confirm that truck broker does not mandate routes or timeframes

Obtain copies of the following documents:

- Sample copy of brokerage agreement—verify that nothing in the agreement contradicts the answers to all questions above
- Sample of the COI's the broker receives from their carriers